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| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  |  | DATE: February 23, 2001                          |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE<br/>(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  | U.S. APPLN. NO. (if known)<br><b>09/763531</b>   |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/JP99/04511</b>  | INTERNATIONAL FILING DATE:<br><b>AUGUST 23, 1999</b> | PRIORITY DATE CLAIMED:<br><b>AUGUST 24, 1998</b> |
| TITLE OF INVENTION: DECAHYDRONAPHTHALENE DERIVATIVE   |  |  |
| APPLICANT(S) FOR DO/EO/US: Shinji OGAWA, Hiroaki OHNISHI, Yutaka NAGASHIMA, Sadao TAKEHARA, Makoto NEGISHI, Haruyoshi TAKATSU, Gerwald GRAHE, Rainer Bruno FRINGS, Christine FUGGER and Cornelia PITHART  |  |  |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):           <ol style="list-style-type: none"> <li>a. _____ is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. _____ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. _____ are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. _____ have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. _____ have not been made and will not be made.</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |  |
| ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:   |  |  |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report and 14 references.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br/>ASSIGNEE NAME AND ADDRESS: <b>DAINIPPON INK AND CHEMICALS, INC., Tokyo, Japan</b></li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br/><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> Other items or information:</li> </ol>   |  |  |



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| 17. <input checked="" type="checkbox"/> The following fees are submitted:   |   | CALCULATIONS                                     | PTO USE ONLY      |
| <b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b><br>Search Report has been prepared by the EPO or JPO: ..... \$860.00   |   |  |                   |
| International preliminary examination fee paid<br>to USPTO (37 CFR 1.482) ..... \$690.00  |   |  |                   |
| No international preliminary examination fee paid<br>to USPTO (37 CFR 1.482) but international search fee<br>paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00                        |   |  |                   |
| Neither international preliminary examination fee<br>(37 CFR 1.482) nor international search fee<br>(37 CFR 1.445(a)(2)) paid to USPTO ..... \$1000.00                                |   |  |                   |
| International preliminary examination fee<br>(37 CFR 1.482) and all claims satisfied provisions<br>of PCT Article 33(2)-(4) ..... \$100.00  |   |  |                   |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |   | <b>\$ 860.00</b>                                 |                   |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <u>20</u><br><u>30</u> months from the earliest claimed priority date (37 DVR 1.492(e)).               |   |  |                   |
| CLAIMS  | NUMBER FILED                                    | NUMBER EXTRA                                     | RATE              |
| TOTAL   | 36 -20  | 16   | X \$ 18.00        |
| INDEPENDENT   | 3 - 3 =   |  | X \$ 80.00        |
| Multiple dependent claims(s) (if applicable)  |   | + \$270.00                                       | <b>\$ 270.00</b>  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |   | <b>\$ 1,418.00</b>                               |                   |
| Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27,<br>1.28).   |   |  |                   |
|   |   | <b>SUBTOTAL =</b>                                | <b>\$1,418.00</b> |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <u>20</u><br><u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)). +        |   |  |                   |
|   |   | <b>TOTAL NATIONAL FEE =</b>                      | <b>\$1,418.00</b> |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment<br>must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).<br><b>\$40.00 per property +</b> |   | <b>\$ 40.00</b>                                  |                   |
| <b>TOTAL FEES ENCLOSED =</b>  |   | <b>\$ 1,458.00</b>                               |                   |
|   |   | Amount to be:<br>refunded _____<br>charged _____ | \$<br>_____       |

ATTORNEY'S DOCKET NO: 010184

|                                    |                               |                         |
|------------------------------------|-------------------------------|-------------------------|
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- a.  A check in the amount of \$ 1,458.00 to cover the above fees is enclosed. (\$860.00 for filing fee; \$288.00 for 16 additional claims; \$270.00 for multiple dependent claims and \$40.00 for Assignment Recordation fee). (This paper is filed in triplicate)
- b.  Please charge my Deposit Account No. 01-2340 in the amount of \$ \_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2340.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.**

**S nd All Correspondence To:**



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PATENT TRADEMARK OFFICE

SIGNATURE

Le-Nhung McLeland  
NAME

31,541  
REGISTRATION NUMBER

LNM/yap

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